Audit Category  Fundamental Audits - S151  Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
				CRSA 2019/20	5				Completed	No opinion given	
			60	In year testing (mid year 2019/20)	25				TARGET - March 2020		
Creditor Payments & Processing			60	In year testing (year end 2019/20)	25		Payments are authorised, accurate and timely for goods /				carried forward to draft Audit Plan 2020/21
(including procurement)				To be agreed with Director	5		services ordered and received.				
			0	Creditors in year testing 2018/19 (carried forward from 2018/19)	0				Final output issued	Effective with opportunity for improvement	
	High			CRSA 2019/20	5			1	Completed	No opinion given	
				In year testing 2019/20	15				TARGET - March 2020		
			65	Payroll overpayments	15		Recruitment processes are transparent and robust, only		Final output issued	Effective with opportunity for improvement	
Payroll				Recruit	15		bona fide, authorised and accurate payments are made,		TARGET - March 2020		
				Establishment reviews	15		with effective prevention,				carried forward to draft Audit
			0	Payroll in year testing 2018/19 (carried forward from 2018/19)	0		detection and recovery of errors.		Final output issued	Effective with opportunity for improvement	Plan 2020/21
Council Tax		Accurance	0								
		Assurance		CRSA 2019/20	5		Business Rate collection and		Completed	No opinion given	
NNDR			20	In year testing 2018/19 and 2019/20	15		control is working effectively and efficiently.		Draft output issued	Effective with opportunity for improvement	
Local Housing Allowances			10	Local Housing Allowances In-year Testing (carried forward from 2018/19)	10		Effective controls to ensure accurate processing of bona fide claims.		Final output issued	Effective with opportunity for improvement	
Treasury Management	High		10	CRSA 2019/20	4		Effective Treasury Management Strategy, governance, risk		Completed	No opinion given	
				In year testing 2019/20	6		magement and monitoring framework.		Final output issued	Effective	
				CRSA 2019/20	5		The main accounting system and		Completed	No opinion given	
Main Accounting			15	In year testing 2019/20	10		processes are well controlled and operating effectively,		Final output issued	Effective	
Income and Debtors			0								
Asset Management			0								
Housing Rents	Medium		0	Housing Rents (carried forward from 2018/19)	0		Effective control processes are in place for managing and recovering housing rents		Final output issued	Effective with opportunity for improvement	
Total			180		180	0					
Corporate Governance Assurance - Audit			Original audit plan	Assignment	Days						
Audit of risk management			10	Audit of risk management arrangements	10		Risk Management arrangements are effective, and operated		Final output issued	Effective with opportunity for improvement	
arrangements	High		0	Audit of risk management arrangements (carried forward from 2018/19)	0		consistently		Final output issued	Effective with opportunity for improvement	
Audit of governance arrangements			20	Audit of governance arrangements	20		Effective application of the Council's Code of Corporate Governance.		Draft output issued	Effective with opportunity for improvement	

Audit Category  Fundamental Audits - S151  Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
Audit of scrutiny functions	Medium		20	Audit of scrutiny functions	20		Effective scrutiny activities and outcomes		Draft output issued	Effective with opportunity for improvement	
Audit of ethics and values			0	Audit of ethics and values (carried forward from 2018/19) - business and personal interests	0		Policy alignment to organisational values, with appropriate disclosures		Draft output issued	Effective with opportunity for improvement	
Addit of ethics and values		Assurance	0	Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality	0		made.		Final output issued	Effective with opportunity for improvement	
Audit of Wellbeing of Future Generations			15	Wellbeing of Future Generations	15		Effective application of Wellbeing of Future Generations requirements.				carried forward to draft Audit Plan 2020/21
Audit of performance management	High		20	Audit of performance management	20		Performance management arrangements are effective, and operated consistently.		TARGET - March 2020		
Audit of Health and Safety			0	Audit of health and safety framework (carried forward from 2018/19)			Effective application of the Council's Health and Safety Framework.		Final output issued	Effective with opportunity for improvement	
Audit of Information governance			15	Audit of Information Governance	15		Effective mechanisms and systems for the requirement of the Data Protection Act 2018.	У	Final output issued	Effective with opportunity for improvement	
Total			100		100	0					
Digital Services	Medium		30	IT project audits 1 - Hybrid Mail IT project audits 2 - Virtual Agent	15 15	_	Project management arrangements are operating effectively, as per		TARGET - March 2020 TARGET - March 2020		
Delegation and decision making	High	Assurance	20	Delegation and decision making	20		Effective application of delegated authority and decision making.				Not in draft Audit Plan 2020/21 - audit scope to be delivered through thematic audits of 'commissioning and procurement' & 'pre-contract assurance'
Programme and projects			0								
(including CADT)  Total			50		50	0					
Other Assurance			Original audit	Assignment	Days						
Contract Audit			40	Cradle to Grave review - Building Maintenance Framework Cradle to Grave review - TBC	20 20		Effective contract compliance, control and delivery of objectives				carried forward to draft Audit Plan 2020/21
Contract / duit			0	Atebion Solutions (carried forward from 2018/19)	0		Effective performance management and charging mechanism		Final output issued	Effective with opportunity for improvement	
Cardiff Bus - governance			10	Cardiff Bus - governance	10		Section 151 Assurance on governance arrangements				carried forward to draft Audit Plan 2020/21
Education - SOP			20	Review of Band B	20		Delivery of service objectives with effective compliance and control.	У			carried forward to draft Audit Plan 2020/21
				Identification and access management	20		Effective access management controls		Draft output issued	Effective with opportunity for improvement	
				IT inventory and asset management  Change and patch management	20		Effective IT inventory and asset management controls		Draft report issued	Insufficient with major improvement needed	carried forward to draft Audit
ICT Audit			50	controls (to be confirmed)	10		Effective co-ordination and control.				Plan 2020/21

Audit Category  Fundamental Audits - S151  Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
				IT Governance (Carried forward from 2018/19)	0		Effective co-ordination and control.		Final output issued	Effective with opportunity for improvement	
				Cloud Computing (Carried forward from 2018/19)	0		Effective co-ordination and control.		Final output issued	Effective with opportunity for improvement	
				VFM Theme - TBC	15		TBC				
		A	30	VFM in Digital Initiatives	15		Assurance on value for money in digital initiatives				carried forward to draft Audit Plan 2020/21
		Assurance		VFM - enforcement (carried forward from 2018/19)	0		Value in use of enforcement practices		Final output issued	Effective with opportunity for improvement	
	Medium			VFM - review of overtime (carried forward from 2018/19)	0		Value in use of overtime		Final output issued	Effective with opportunity for improvement	
				VFM - agency workers, interims and consultants (carried forward from 2018/19)	0		Value in use of temporary workforce arrangements		Final output issued	Effective with opportunity for improvement	
Value for Money studies				VFM - sickness absence review (carried forward from 2018/19)	0		Effective sickness management policy and practices		Final output issued	Effective with opportunity for improvement	
			0	VFM - workforce deployment (carried forward from 2018/19)	0		Value in the deployment of the workforce				Not in draft Audit Plan 2020/21 - It is recognised that there will need to be flexibility in deployment of the workforce. Scope to be partly delivered through audit of 'Payroll - Additional Payments' which will look review overtime, enhancements and allowances.
				VFM - personal review process (carried forward from 2018/19)	0		Effective personal review application and management.		Final output issued	Effective with opportunity for improvement	
Taxation (incl. VAT)			19	Taxation	19		Effective compliance and control.				carried forward to draft Audit Plan 2020/21
System Development		TBC	10	System Development	10		Consultation or assurance services, as relevant				
National Fraud Initiative			20	National Fraud Initiative	20	1	Data matching counter-fraud exercise.		TARGET - March 2020		
Pensions and Investments	-		15	CRSA 2019/20	5	<u>-</u>	CACTORSC.		Completed	No opinion given	1
Insurance			0	In year testing 2019/20 In-year testing 2018/19 (carried forward from 2018/19)	0		Effective compliance and control.		Final output issued	Effective with opportunity for improvement	work to conclude in 2020/21
Health and safety		1									
Mileage & subsistence			0								
Fleet Management (pool cars, grey fleet, etc.)	Medium	Assurance	20	Fleet Management (pool cars, grey fleet, etc.)	20		Delivery of service objectives with effective compliance and control.		Draft output issued	Insufficient with major improvement needed	
Procurement Stores	Medium		16	Review of CTS stores	10		Effective and efficient stores management, and stock control		TARGET MAN 1 2020		Not in draft Audit Plan 2020/21 - audit scope delivered through audits of 'Tranman' and 'fleetwheel' in 2019/20
				Year end stock check 1	3		Disciplined and controlled stock		TARGET - March 2020		
				Year end stock check 2	3		check processes for valuation and accountability		TARGET - March 2020		

Audit Category  Fundamental Audits - S151  Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
Business Continuity  Total			0 <b>250</b>		250	0					
Service specific audits			Original audit plan	Assignment	Days						
				Health and Safety	15		Directorate health and safety compliance and risk management.		TARGET - March 2020		
				Commissioning and Procurement	15		Commissioning and Procurement compliance and control				carried forward to draft Audit Plan 2020/21
	High			Asset management	15		Effective Directorate recording, monitoring, management and control of physical assets		TARGET - March 2020		
				Income and Debtors	15		Operation of appropriate arrangements to record, monitor and recover sundry debts.				carried forward to draft Audit Plan 2020/21
				Bulky waste collection (domestic properties)	15		Accurate charging and controlled operation of the service		Final output issued	Effective with opportunity for improvement	
				Commercial waste	0		Delivery of service objectives with				carried forward to draft Audit Plan 2020/21
			170	Bereavement Services	10	-	effective compliance and control.				work to conclude in 2020/21 carried forward to draft Audit
				Recycling in HWRCs	20						Plan 2020/21
		Assurance		Stock systems (Tranman)	20		Effective and efficient stock		Final output issued	Insufficient with major improvement needed	
Planning, Transportation and Environment				Stock systems (Vectec)	10		management and control		Final output issued	Effective with opportunity for improvement	
				Purchasing cards (CTS)	10				Final output issued	Insufficient with major improvement needed	
	Medium			Building Control	10		Delivery of service objectives with effective compliance and control.		Final output issued	Effective with opportunity for improvement	
				Fly Tipping	15	1			Final output issued	Unsatisfactory	1.1.1.2000/04
				Trolleys FOLLOW UP - Lamby Way Stores	15	10	Follow up Audit	-	TARGET - March 2020		work to conclude in 2020/21
				FOLLOW UP - Review of contracts in Waste Management		10	Follow up Audit				carried forward to draft Audit Plan 2020/21
				Stock take observation - Lamby Way (carried forward from 2018/19)	0		Disciplined and controlled stock check processes for valuation and accountability		Final output issued	Effective with opportunity for improvement	
				Review of Gatehouse	0		Effective system and access control		Final output issued	Insufficient with major improvement needed	
			1	Review of contracts in Waste Management	0		Delivery of service objectives with effective compliance and control.		Final output issued	Unsatisfactory	
				Fleetwheel	5			-	TARGET - March 2020		
		Consultation		Weighbridge - monitoring and review	0		Consultation and guidance on effective compliance and control.		TARGET - March 2020		
				Health and Safety - People and Communities Health and Safety - Waste	15		Directorate health and safety compliance and risk management.		Draft report issued  Draft report issued	Effective  Insufficient with major	
	High			Management  Commissioning and Procurement	15		Commissioning and Procurement compliance and control			improvement needed	carried forward to draft Audit Plan 2020/21

Audit Category  Fundamental Audits - S151  Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
	iligii			Asset management	15		Effective Directorate recording, monitoring, management and control of physical assets		TARGET - March 2020		
				Income and Debtors	15		Operation of appropriate arrangements to record, monitor and recover sundry debts.				carried forward to draft Audit Plan 2020/21
				Independent Living	10		Delivery of service objectives with				carried forward to draft Audit Plan 2020/21
			150	Lettings policy in high rise accommodation	10		effective compliance and control.				carried forward to draft Audit Plan 2020/21
		Assurance		Into work grant streams	10			У	Final output issued	Effective with opportunity for improvement	
People and Communities		Assurance		Responsive Repairs	20		Delivery of service objectives with effective compliance and control.		Final output issued	Effective with opportunity for improvement	
	Medium			Flexibilities funding (Housing)	10			У			carried forward to draft Audit Plan 2020/21
				"Get me home" service	15						carried forward to draft Audit Plan 2020/21
				Joint Equipment Stores	12		Effective and efficient stores management, and stock control		Final output issued	Effective with opportunity for improvement	
				Bilingual Cardiff (carried forward from 2018/19)	0		Welsh Language Standards compliance and control	У	Final output issued	Effective with opportunity for improvement	
			0	Regional Partnership Board (carried forward from 2018/19)	0		Effective governance and conrtrol	У	Draft output issued	Effective with opportunity for improvement	
	High			Partnerships and collaborative governance (carried forward from 2018/19)	0		in partnerships / collaborations		Final output issued	Effective with opportunity for improvement	
	High	Consultation		RBV Module	3		Consultancy on the draft policy and controls in the proposed Northgate Module.		Briefing paper Issued	No Opinion Given	
				Health and Safety	15		Directorate health and safety compliance and risk management.		Draft output issued	Effective with opportunity for improvement	
				Commissioning and Procurement	15		Commissioning and Procurement compliance and control				carried forward to draft Audit Plan 2020/21
	High			Asset management	15		Effective Directorate recording, monitoring, management and control of physical assets		TARGET - March 2020		
				Income and Debtors	15		Operation of appropriate arrangements to record, monitor and recover sundry debts.				carried forward to draft Audit Plan 2020/21
				Income collection (fees and charges)	15						Not in draft Audit Plan 2020/21 - audit scope to be delivered through 'income and debtors' audit
			150	Film Unit	15				Draft report issued	Insufficient with major improvement needed	
				Strategic Estates - processes	10		Delivery of service objectives with		Final output issued	Insufficient with major improvement needed	

Audit Category  Fundamental Audits - S151 Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
Economic Development	Medium	Assurance		Channel View	15		enective compliance and control.				Not in draft Audit Plan 2020/21 - audit scope included in asset management audit and within PCI audit sample, and income and debtors audits planned for 2020/21
				Stores (leasehold properties)	15		Effective and efficient stores management, and stock control				Not in draft Audit Plan 2020/21 - stock check observation arranged for this location for 2019/20 year end.
				Major Project governance	20		Operation of appropriate arrangements for Major Projects		TARGET - March 2020		
				Stock take observation - Brindley Road (carried forward from 2018/19)	0		Disciplined and controlled stock check processes for valuation and accountability		Final output issued	Effective with opportunity for improvement	
				County Hall canteen (carried forward from 2018/19)	0		Effective compliance and control.		Final output issued	Insufficient with major improvement needed	
				Health and Safety	15		Directorate health and safety compliance and risk management.				carried forward to draft Audit Plan 2020/21
				Commissioning and Procurement	15		Commissioning and Procurement compliance and control				carried forward to draft Audit Plan 2020/21
	High			Asset management	15		Effective Directorate recording, monitoring, management and control of physical assets		Draft output issued	Insufficient with major improvement needed	
				Income and Debtors	15		Operation of appropriate arrangements to record, monitor and recover sundry debts.				carried forward to draft Audit Plan 2020/21
				ICT governance - in year testing for schools	40		Effective ICT Governance mechanisms for compliance, business continuity and control		8 Final & 1 Draft outputs issued	(8) Effective with opportunity for improvement, (1) Insufficient with major improvement needed	
			I	Counterfraud in schools - CRSA development	10				Completed	No opinion given	
				Counterfraud in schools: in year testing in primary schools x 4	40		Counter-fraud assurance in school governance, transactional, procurement and recruitment		3 Final, 1 Draft outputs issued	(4) Effective with opportunity for improvement	
				Counterfraud in schools: in year testing in secondary schools x 4	40		activities		3 Final outputs issued	(1) Effective, (2) Effective with opportunity for improvement	
				Work in individual schools School 1 - St. Francis RC Primary School School 2 -Windsor Clive Primary					Final report issued	Insufficient with major improvement needed Insufficient with major	
		Assurance	I	School			Full audits of systems of		Final report issued	improvement needed	
			300	School 3 - St. Illtyd's RC High School	40		governance and internal control within individual schools		Final output issued	Effective with opportunity for improvement	
				School 4 - Pentyrch Primary School					Draft output issued	Effective with opportunity for improvement	
			I	School 5 School 6							
				Income collection in schools (incl. lettings, banking)	25		Effective compliance and control in school income collection.		Draft output issued	Effective with opportunity for improvement	

Audit Category  Fundamental Audits - S151  Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
Education and Lifelong Learning	Medium			Contract procedures in schools	25		Effective compliance and control in school procurements				Not in draft Audit Plan 2020/21 - Objectives to be delivered through school wider CRSA audit planned for all schools, a planned thematic school audit of commissioning and procurement, and school specific audits in 2020-21.
				Storey Arms	10		Review of systems of governance and internal control		Final output issued	Effective with opportunity for improvement	
				FOLLOW UP - St. Peter's RC Primary School		8	Follow up Audit				carried forward to draft Audit Plan 2020/21
				FOLLOW UP - Pontprennau Primary School		8	Follow up Audit				carried forward to draft Audit Plan 2020/21
				FOLLOW UP - Music Service income		10	Follow up Audit		TARGET - March 2020		
				FOLLOW UP - Income Procedures in School Kitchens		10	Follow up Audit				work to conclude in 2020/21
		Consultation		School guidance on contract procedures	0		The provision of documented guidance and support to schools		Completed	No opinion given	
				Roath Park (carried forward from 2018/19)	0		Full audit of systems of governance and internal control.		Final output issued	Insufficient with major improvement needed	
				Commissioning of independent investigations (carried over from 2018/19)	10		Effective control in the commissioning and monitoring of independent investigations		Final output issued	Effective with opportunity for improvement	
				Youth Innovation Grant (carried forward from 2018/19)	0		Effective governance, decision making and peformance management		Final output issued	Effective with opportunity for improvement	
				Music Service - expenditure (carried forward from 2018/19)	0		Compliance and control in Music Service exenditure		Final output issued	Effective with opportunity for improvement	
		Assurance		Income processes (carried forward from 2018/19)	0		Compliance and control in income processes		Briefing paper issued	No opinion given	
				St. Peter's (carried forward from 2018/19)	0				Final output issued	Unsatisfactory	
				Willows (carried forward from 2018/19)	0		Full audit of systems of governance		Final output issued	Effective with opportunity for improvement	
				Ysgol Glantaf (carried forward from 2018/19)	0		and internal control.		Final output issued	Insufficient with major improvement needed	
				Whitchurch High School (carried forward from 2018/19)	0				Final output issued	Insufficient with major improvement needed	
				Music Service - follow up (carried forward from 2018/19)	0		Follow up Audit		Final output issued	Unsatisfactory	
				Health and Safety	10		Directorate health and safety compliance and risk management.		Draft output issued	Effective with opportunity for improvement	
				Commissioning and Procurement	10		Commissioning and Procurement compliance and control				carried forward to draft Audit Plan 2020/21
Governance and Legal Services	High	Assurance	50	Income and Debtors	10		Operation of appropriate arrangements to record, monitor and recover sundry debts.				carried forward to draft Audit Plan 2020/21
				Asset management	10		Effective Directorate recording, monitoring, management and control of physical assets		Final output issued	Effective with opportunity for improvement	
	Medium			To be agreed with Director	10		TBC.				
				Health and Safety	10		Directorate health and safety compliance and risk management.				carried forward to draft Audit Plan 2020/21

## Approximate Application   Property of the Control of Property of the Con	Audit Category											
Assurances    Tigh   Assurances   10   20   Commission of particles and appropriate and control (poperation and appropriate read control (poperation and particles) as the particle of the control of particles are and (poperation and particles) as the particle of the control of particles are and (poperation and particles) as the particle of the particle of the control of particles are and (poperation and particles) as the particle of the pa		Risk			Assignment	Days		Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targeted / delivered in 2019/20
Assurance Assurance  Assurance Assurance  Assert management  To be agreed with Connector  To be agreed					Commissioning and Procurement	10		1				carried forward to draft Audit Plan 2020/21
Acut management 10  18th 18th 18th 18th 18th 18th 18th 18t	Resources	High	Assurance	50	Income and Debtors	10		arrangements to record, monitor				carried forward to draft Audit Plan 2020/21
Procedure of the content of procedure of proce								monitoring, management and		Draft output issued		
Medium   Page		TBC	<u> </u>			10	-					
Assurance		High		(1		0			_	Final output issued	Effective	
Commissioning and recovered 15 commissioning and recovered 15 commissioning and recovered 15 commissioning and recovered 15 commissioning and recovered and					Health and Safety	15		1		Draft output issued		
Social Services  Assurance  Assur					Commissioning and Procurement	15		1				carried forward to draft Audit Plan 2020/21
Assurance  Medium  Assurance  Medium  Assurance  Assura		High			Income and Debtors	15		arrangements to record, monitor and recover sundry debts.				carried forward to draft Audit Plan 2020/21
Direct Payments 20  Assurance Assura						15		monitoring, management and				
Direct Payments   20					Safeguarding (follow up review)	15		Follow up Audit		TARGET - March 2020		
Assurance					Direct Payments	20		_		Draft output issued		
Social Services  Assurance  Assur					ICF schemes (incl. Families First)	15						carried forward to draft Audit Plan 2020/21
Assurance Assurance Assurance Learning Disabilities 15  Medium Me					Early help service (impact of FPOC)	15						carried forward to draft Audit Plan 2020/21
Learning Disabilities   15				300	Children's Placements	20		I i				carried forward to draft Audit Plan 2020/21
Medium  Medium	Social Services		Assurance		Learning Disabilities	15		effective compliance and control.		Draft output issued		
Medium  Medium					Mental Health Day Services (CRT)	15						carried forward to draft Audit Plan 2020/21
Medium  Medium  Medium  Medium  Medium  Sensory Services  15  Payments to Care Leavers  Child Health and Disabilities  Delivery of service objectives with effective compliance and control.  Home Care (mobile scheduling)  Domiciliary Care (carried forward to promotion 2002/21 - audit engree reprioritised in d with Direct carried forward to plan 2020/21.  Home Care (mobile scheduling)  Domiciliary Care (carried forward to promotion 2003/11)  Total  1,170  Domiciliary Care (carried forward to promotion 2003/11)  Total  1,170  Domiciliary Care (carried forward to promotion 2003/11)  Draft output issued  Insufficient with major improvement needed  Not in draft Aut 2020/21 - audit engree carried forward to plan 2020/  Not in draft Aut 2020/21 - to allow to promotion 2003/21 - audit engree carried forward to plan 2020/21 - audit engree carried forward to plan 2020					Foster carers (incl. safeguarding)	15				TARGET - March 2020	60.1	
Sensory Services 15  Payments to Care Leavers 20 Child Health and Disabilities 20  Home Care (mobile scheduling) 15  Delivery of service objectives with effective compliance and control.  Total 1,170  Original audit plan  Assignment Days  Delivery of service objectives with effective compliance and control.  Delivery of service objectives with effective compliance and control.  Delivery of service objectives with effective compliance and control.  Delivery of service objectives with effective compliance and control.  Delivery of service objectives with effective compliance and control.  Total 1,170  Domicillary Care (carried forward to greater consultar support in 202  Draft output issued  Draft output issued  Insufficient with major improvement needed		Medium			Deprivation of Liberty Safeguards	20	-			Final output issued		
Payments to Care Leavers 20 Child Health and Disabilities 20 Home Care (mobile scheduling) 15  0 Domiciliary Care (carried forward for from 2018/19)  Total 1,170 1,170 56  External Original audit plan Assignment Days  Delivery of service objectives with effective compliance and control.  Delivery of service objectives with effective compliance and control.  Delivery of service objectives with effective compliance and control.  Delivery of service objectives with effective compliance and control.  Total 1,170 1,170 56  Draft output issued Insufficient with major improvement needed					Sensory Services	15						2020/21 - audit engagements re-prioritised in discussion
Child Health and Disabilities  20 Home Care (mobile scheduling)  15  Domiciliary Care (carried forward from 2018/19)  Total  1,170  Original audit plan  Assignment  Days  effective compliance and control.  effective compliance and control.    Carried forward to Plan 2020/   Domiciliary Care (carried forward from 2018/19)   Draft output issued   Draft					Payments to Care Leavers	20		Delivery of service objectives with				carried forward to draft Audit Plan 2020/21
Home Care (mobile scheduling)  O Domiciliary Care (carried forward from 2018/19)  Total  Insufficient with major improvement needed  Draft output issued  Insufficient with major improvement needed  External  Original audit plan  Assignment  Days  Not in draft Aud 2020/21 - to allow or greater consultar support in 202  Insufficient with major improvement needed					Child Health and Disabilities	20						carried forward to draft Audit Plan 2020/21
Draft output issued  O Domiciliary Care (carried forward from 2018/19)  Total 1,170 56  External Original audit plan Assignment Days  Draft output issued  Insufficient with major improvement needed  Draft output issued  Insufficient with major improvement needed  Draft output issued  Insufficient with major improvement needed					Home Care (mobile scheduling)	15						Not in draft Audit Plan 2020/21 - to allow capacity for greater consultancy audit
Total 1,170 1,170 56  External Original audit plan Assignment Days Days				0		0				Draft output issued		Support III 2020-21.
Plan Assignment Days	Total			1,170		1,170	56					
Marking   Ct. David 2040/20	External				Assignment	Days						
		Medium			City Deal 2019/20	5		Scope as per rolling SLA	у			work to conclude in 2020/21
Cardiff Further Education Trust 2 Closed No opinions given						2				Closed	No opinions given	

Audit Category											
Fundamental Audits - S151 Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Follow Up Days	Audit Scope	National Issue	Report Status 29.02.20	Audit Opinion	Comment, if audit engagement is not targ delivered in 2019/2
				Norwegian Church Preservation	2				Closed	No opinions given	
				Trust Joint Committees	6				Closed	No opinions given	
			20	Rumourless Cities European Grant	8	1			Closed	No opinions given	
				Provision for other work	3						
xternal clients		Assurance		St. Peter's Private Fund	2	]			Closed	No opinion given	
				St. Peter's Out of School Club and Governors' Fund (unplanned)	10				Closed	No opinion given	
	Low			Cardiff Further Education Trust Fund 2017/18 (carried forward from 2018/19)	0		Grant certification / statement of accounts work		Closed	No opinion given	
			0	Norwegian Church Preservation Trust (carried forward from 2018/19)	0				Final output issued	Effective with opportunity for improvement	
			10	Provision for grant work	5						
rants		Assurance	0	Education Improvement Grant 18/19	5				Final output issued	Effective with opportunity for improvement	
				Education Improvement Grant 2017/18 (carried forward from 2018/19)	0				Final output issued	Effective with opportunity for improvement	
Total			30		48	0					
Contingencies			Original audit plan	Assignment	Days						
General Audit				General Audit (provision)	12		TBC.				
Total			30		12	0					
Management			Original audit plan	Assignment	Days						
orporate work – Audit ommittee, WAO etc.			50	Corporate work – Audit Committee, WAO etc.	50						
ssurance mapping			10	Assurance mapping	10						
RSA development			0	CRSA development	0		Internal Audit management,				
rocess development	Medium	Management	20	Process development	20		planning, guidance and support				
Vork for Audit Manager			30	Work for Audit Manager	30		activities.				
lanning, monitoring & eporting			30	Planning, monitoring & reporting	30						
eview of financial rules etc.			20	Review of financial rules etc.	20						
General advice and guidance			20	General advice and guidance	20						
Total			180		180	0					

1,990

56

Total chargeable days

1,990